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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): O'BRIEN et al.

Group Art Unit: 1713

Serial No.: 10/817,651

Examiner: EGWIM, Kelechi Chidi

Filed: April 2, 2004

Docket No.: 287.00090101

Confirmation No.: 3369

Title: AQUEOUS DISPERSIONS AND COATINGS

Commissioner for Patents  
Mail Stop Amendment  
P.O. Box 1450  
Alexandria, VA 22313-1450

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ An itemized return postcard.  
☐ A Petition for Extension of Time for \_\_ month(s) and a check in the amount of \$\_\_ for the required fee.  
☒ A Supplemental Information Disclosure Statement (2 pgs); 1449 form (1 pg); copy of 1 application with filing receipt; copies of 4 documents listed on 1449 form.  
☐ A request for continued examination (RCE) and a check in the amount of \$\_\_, for the required filing fee.  
☐ An Appeal Brief and a check in the amount of \$\_\_, for the required Appeal Brief filing fee.  
☐ A check in the amount of \$\_\_, for \_\_.  
☐ A certified copy of a \_\_ application, Serial No. \_\_, filed \_\_\_\_, the right of priority of which is claimed under 35 U.S.C. §119.  
☐ Other: Copy of Notification of Transmittal of the International Search Report (3 pgs); Copy of International Search Report (4 pgs); Copy of Written Opinion (5 pgs)  
☐ Amendment \_\_ No Additional fee is required. \_\_ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$50 =	
Independent Claims				x \$200 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$360 Here →					
Total Additional Claim Fees Required					

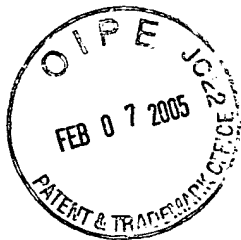
Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

**CERTIFICATE UNDER 37 C.F.R. §1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, Mail Stop Amendment, P.O. Box 1450, Alexandria, VA 22313-1450, on this 2 day of Feb, 2005.

MUETING, RAASCH & GEBHARDT, P.A.  
Customer Number: 26813

By: Ann M. Mueeting  
Ann M. Mueeting  
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(LARGE ENTITY TRANSMITTAL UNDER RULE 1.8)



PATENT  
Docket No. 287.0009 0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	O'BRIEN et al.	)	Group Art Unit:	1713
		)		
Serial No.:	10/817,651	)	Examiner:	Egwim, Kelechi Chidi
Confirmation No.:	3369	)		
		)		
Filed:	April 2, 2004	)		
		)		
For:	<u>AQUEOUS DISPERSIONS AND COATINGS</u>			

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the continuing duty of candor and good faith that is to be demonstrated before the United States Patent and Trademark Office (USPTO), enclosed are copies of four documents cited in an International Search Report, which are not already of record in the present matter. Enclosed for the Examiner's information is a copy of the International Search Report and The Written Opinion of the International Search Authority, mailed September 14, 2004.

The Applicants wish to bring the Examiner's attention to pending U.S. Provisional Patent Application Serial No. 60/620,639, filed October 20, 2004 for consideration in the present U.S. patent application. A copy of U.S. Provisional Patent Application Serial No. 60/620,639, which is not available on the U.S.P.T.O. Image File Wrapper (IFW) System, is provided herewith. Consideration of the document enclosed herewith is respectfully requested. Applicants further request that the Examiner acknowledge receipt and consideration of the enclosed document in the next Official Communication.

It is believed that no fee is due, as this Information Disclosure Statement is filed prior to the receipt of any Action on the merits. However, in the event a fee is due, please charge any fee or credit any overpayment to Account No. 13-4895.

The Examiner is invited to contact Applicants' Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

**CERTIFICATE UNDER 37 C.F.R. 1.8:**

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 2 day of Feb, 2005.

Sandy Truehart  
Name: Sandy Truehart

Feb. 2, 2005  
Date

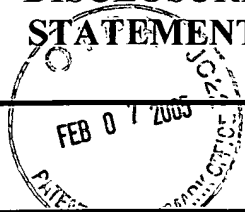
AMM/sjt

Respectfully submitted for  
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<b>INFORMATION DISCLOSURE STATEMENT</b>	<b>Atty. Docket No.:</b> 287.00090101	<b>Serial No.:</b> 10/817,651
	<b>Applicant(s):</b> O'Brien et al.	<b>Confirmation No.:</b> 3369
	<b>Application Filing Date:</b> April 2, 2004	<b>Group:</b> 1713
	<b>Information Disclosure Statement mailed:</b> February 2, 2005	

**U.S. PATENT DOCUMENTS**

Examiner Initial	Copy Enclosed	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		3,390,206	06/25/68	Morice et al.			
		4,871,810	10/03/89	Saltman			
		4,898,911	02/06/90	Miyashita			
		5,082,742	01/21/92	Padwa			
		6,040,062	03/21/00	Campbell et al.			

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Copy Enclosed	Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	✓	61-37282	05/17/94	JP				
	✓	2004/090020 A1	10/21/04	WO				

**OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)**

Examiner Initial	Copy Enclosed	Document Description
	✓	Standard Test Method for Viscosity by Ford Viscosity Cup1, ASTM-D 1200, pp. 1-3
	✓	Standard Test Methods for Rheological Properties of Non-Newtonian Materials by Rotational (Brookfield type) Viscometer1, ASTM-D 2196, pp. 1-4

<b>EXAMINER</b>	<b>Date Considered</b>
<p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	